



## SYNCHROTRON DIAGNOSTICS OF FUNCTIONAL NANOMATERIALS

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*National Research Center "Kurchatov Institute"*

Plenary lecture



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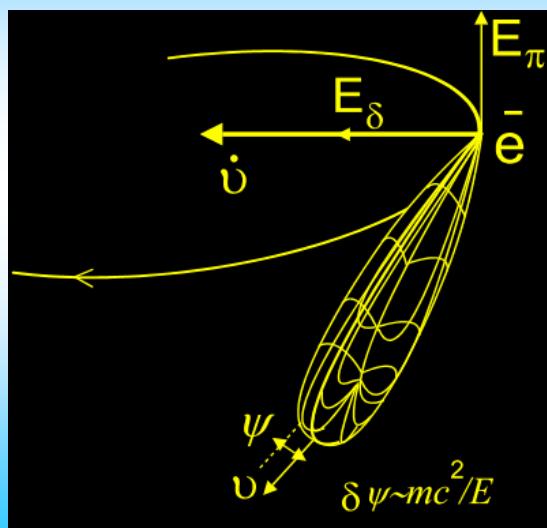


### Scope of the lecture

- Introduction to synchrotron radiation (SR)
- Scheme and capabilities of the Structural Materials Science beamline at the Kurchatov SR source
- Basics and typical applications of
  - EXAFS/XANES
  - SAXS
  - XRD
- Combined application of X-ray techniques to structural diagnostics of nanomaterials

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## Synchrotron Radiation

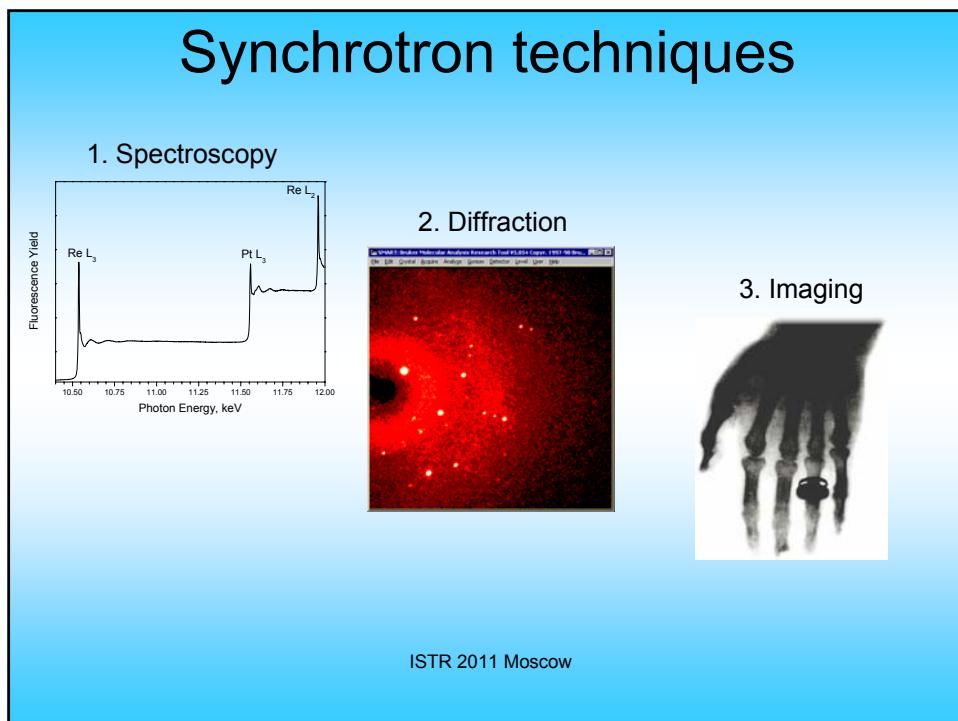
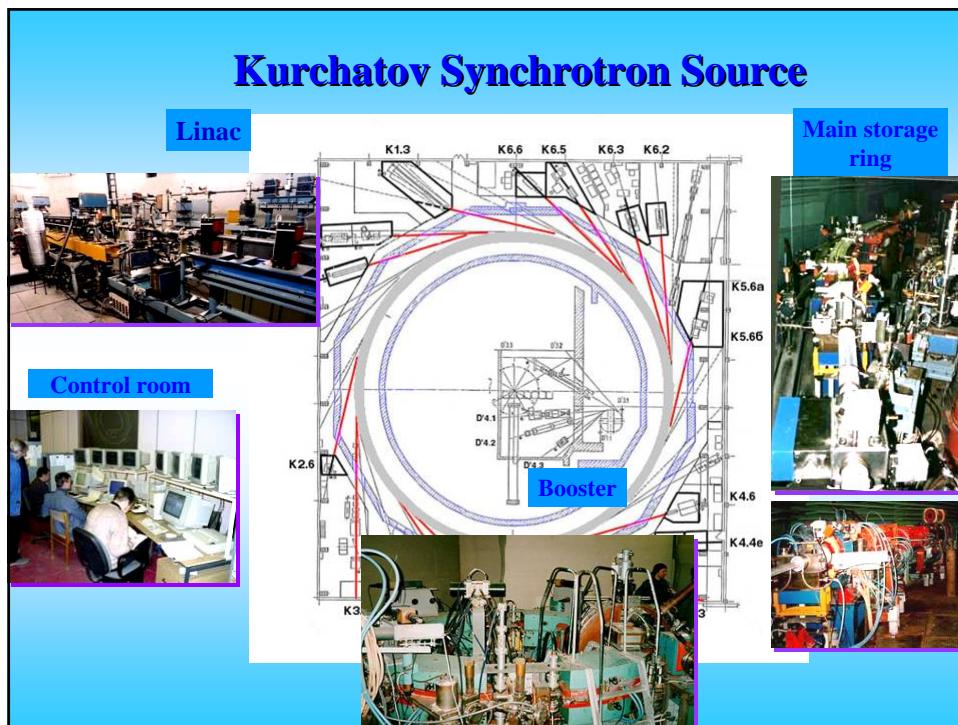


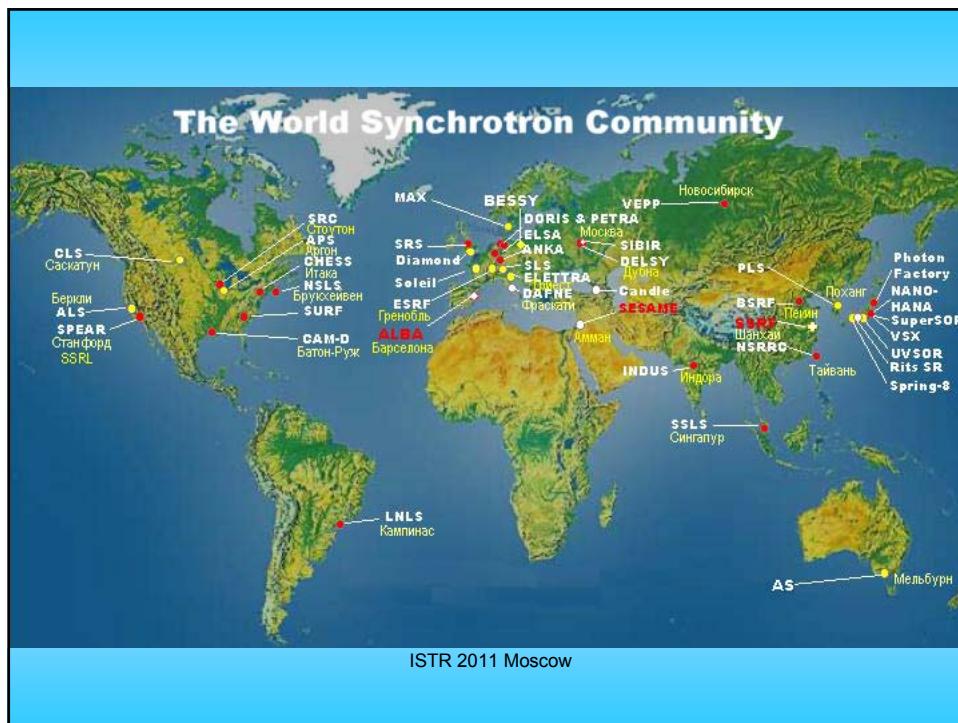
Electromagnetic radiation generated by ultrarelativistic electrons/positrons traveling along circular orbits in light charged particles accelerators

### Advantages compared to standard X-ray sources

- Intensity/Brightness higher by 6-10 orders of magnitude
- Continuum spectrum from IR to hard X-rays
- High natural collimation
- Tunable polarization
- Partial coherence

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## Synchrotron sources in Russia

Siberian Center for Synchrotron Radiation (Budker Institute for Nuclear Physics, Novosibirsk) in operation since mid 1970-ies

Storage rings VEPP-3 (2 GeV, 120 mA), VEPP-4 (5 GeV, 40 mA) – both **1<sup>st</sup> generation** ( $\varepsilon \sim 300$  nm·rad)

11 beamlines ssrc.inp.nsk.su

Kurchatov Synchrotron Radiation Source (NRC «Kurchatov Institute», Moscow) in operation since early 2000-ies

Siberia-1 (booster, 450 MeV) – 3 VUV beamlines

Siberia-2 – dedicated **2<sup>nd</sup> generation source** (2.5 GeV, 300 mA,  $\epsilon \sim 75 \text{ nm}\cdot\text{rad}$ ), 16 beamlines  
[www.kcsr.kiae.ru](http://www.kcsr.kiae.ru)

Zelenograd Synchrotron Radiation Facility (Lukin R&D Institute of Physical Problems).  
<http://www.niifp.ru> – **under construction**

Dubna Electron Synchrotron (JINR) <http://www.jinr.ru/delsy> – project development

### International collaboration:

Russian-German beamline at BESSY II [http://www.bessy.de/lab\\_profile/04\\_rglab/RGI\\_lab.html](http://www.bessy.de/lab_profile/04_rglab/RGI_lab.html)

Russian-German beamline at BESSY II <http://www.bessy.de>  
Russian involvement in ESRF consortium (July 2011)

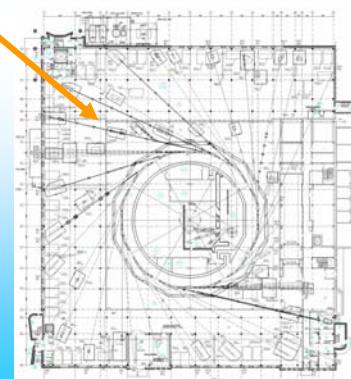
Russian participation in European XFEL project (scheduled start in 2014 года, 4<sup>th</sup> generation source)

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## Kurchatov Synchrotron Radiation Centre

X-ray stations	
1	Protein Crystallography
2	Precision X-ray Optics
3	X-ray Crystallography and Physical Materials Science
4	Medical Imaging
6	Energy-Dispersive EXAFS
7	<b>Structural Materials Science (SMS)</b>
8	X-ray Small Angle Diffraction Cinema (bioobjects)
9	Refraction Optics & X-ray Fluorescence Analysis
10	X-ray Topography & Microtomography
VUV stations	
11	X-ray Photoelectron Spectroscopy
12	Optical spectroscopy for Condensed Matter
13	Luminescence & Optical Investigations
Technological stations	
14	X-ray Standing Waves for Langmuir-Blodgett Films
15	Molecular Beam Epitaxy
16	LIGA

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## Structural Materials Science beamline

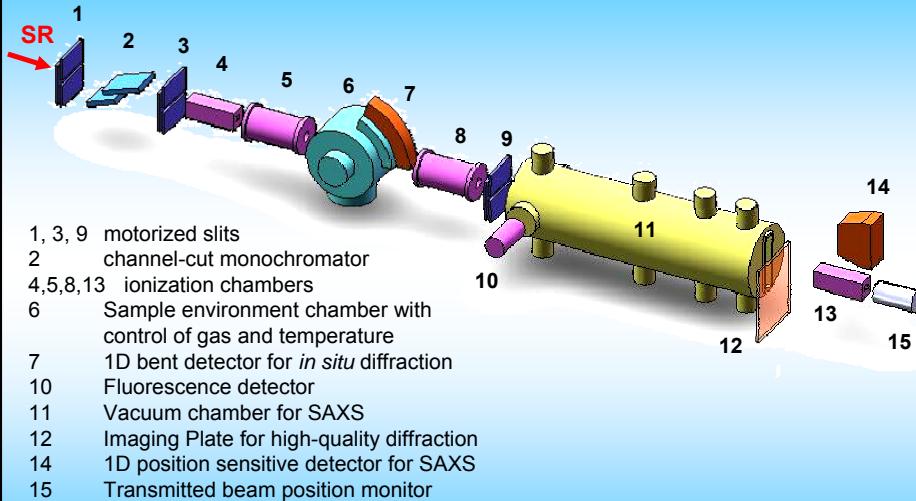


- In the user mode since 2004
- Techniques implemented: XANES/EXAFS, XRD, SAXS
- Mission: combined X-ray diagnostics of non-crystalline and nanostructured functional materials

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## General layout of the beamline



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## Characteristics of the beamline

### Monochromators:

Type	Energy interval, keV	$\Delta E/E$
Si(111)	5-19	$10^{-4}$
Si(220)	8-35	$10^{-4}$

Monochromator is driven by stepper motors (1" discrete steps)

### Detectors:

- Ionization chambers + KEITHLEY 6487
- Scintillation counter with NaI(Tl) crystals
- Linear gas-filled detector COMBI-1 ("Burevestnik", St. Petersburg)
- 2D-detector ImagingPlate (FujiFilm BAS2025)
- Semiconducting detector (pure Ge)

### Beam dimensions:

Maximum	$3 \times 3 \text{ mm}^2$
Minimum	$10 \times 10 \mu\text{m}^2$
Step of translations	$\sim 4 \mu\text{m}$

### Photon flux:

$\sim 0.5 \times 10^8 \text{ photons/mm}^2$  with energy bandwidth  $\Delta\lambda/\lambda = 10^{-4}$

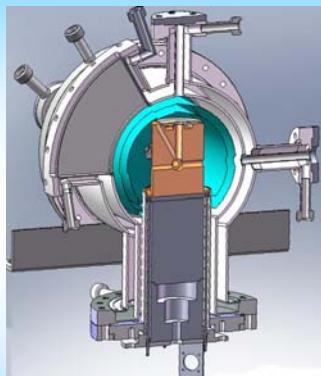
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## *In-situ* cell for functional materials



3-component gas mixtures

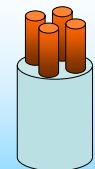
- Inerts: **He, N<sub>2</sub>, Ar**
- Oxidation and reduction: **O<sub>2</sub>, H<sub>2</sub>**
- Catalytic substrate: **CO, CH<sub>4</sub>, etc.**
- Vacuum 10 Pa



20-550°C

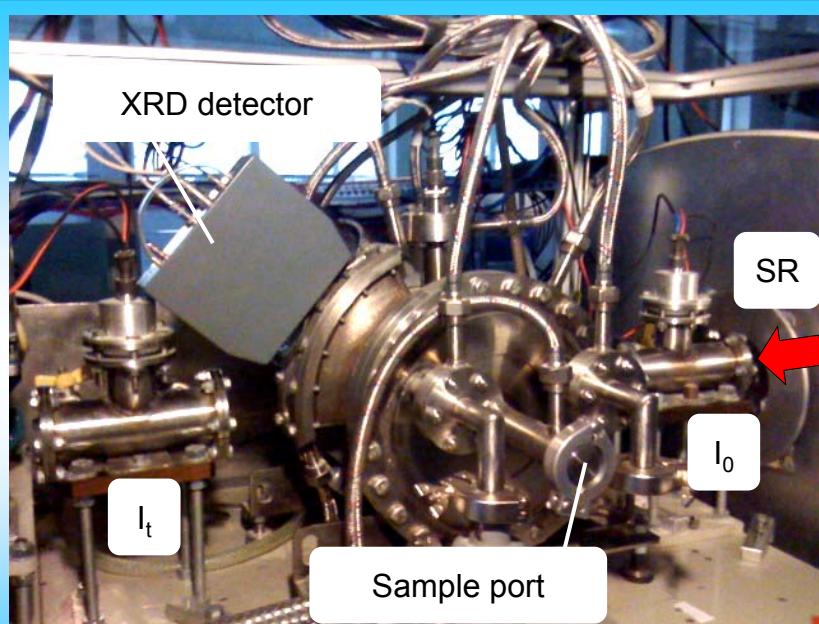
Thermostabilization  
through the heating current  
& thermocouple feedback  
±1°C

4 × 350 W

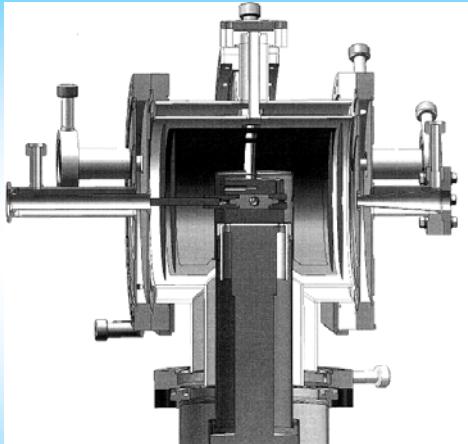


Cooling down to -130°C  
with a flow of cold N<sub>2</sub> gas

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## He closed-cycle refrigerator (SHI, Japan)



Minimum temperature achieved 10.0K + precise termostabilization up to room temperature

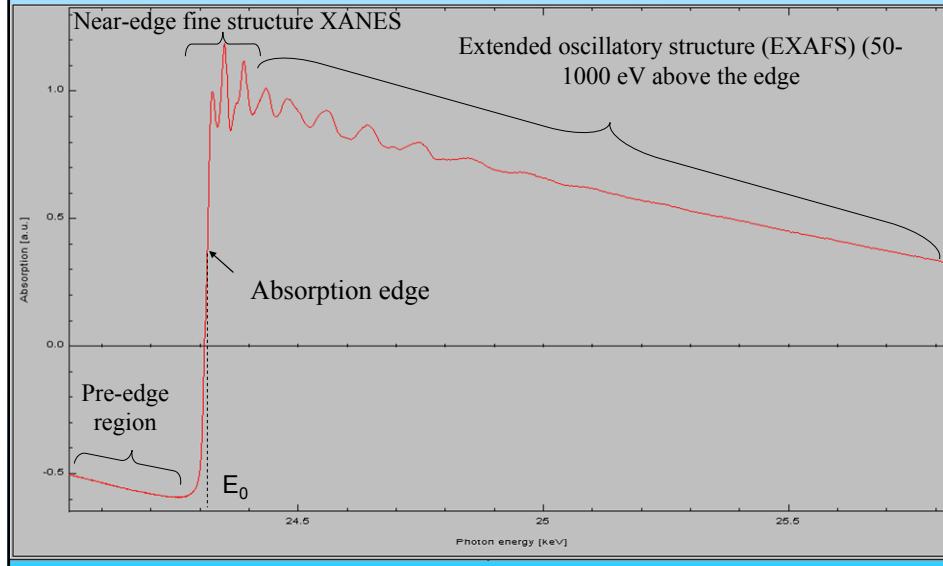
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## Combined use of XAFS, XRD and SAXS

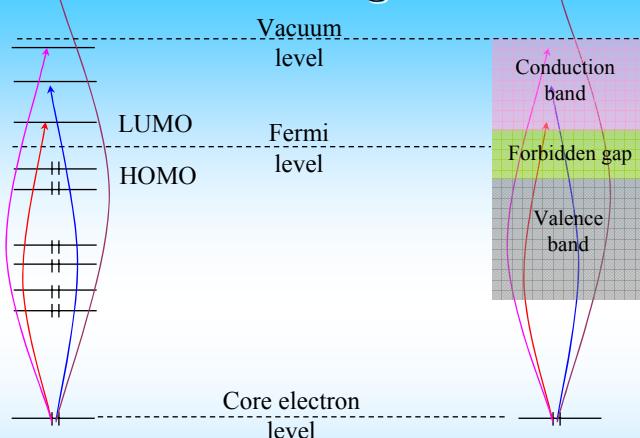
- **XANES** - oxidation state of heavy atoms + coordination symmetry
- **EXAFS** - local neighborhood of a given heavy atom
- **XRD** - long-range order, phase composition, size of crystallites
- **SAXS** - size and shape of nanoparticles or pores in a range of 1-100 nm

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# X-ray absorption spectroscopy: basics



## XANES: origin



**XANES probes the energy distribution of certain symmetry-allowed MOs or DOS features above the Fermi level**

Fermi's golden rule:

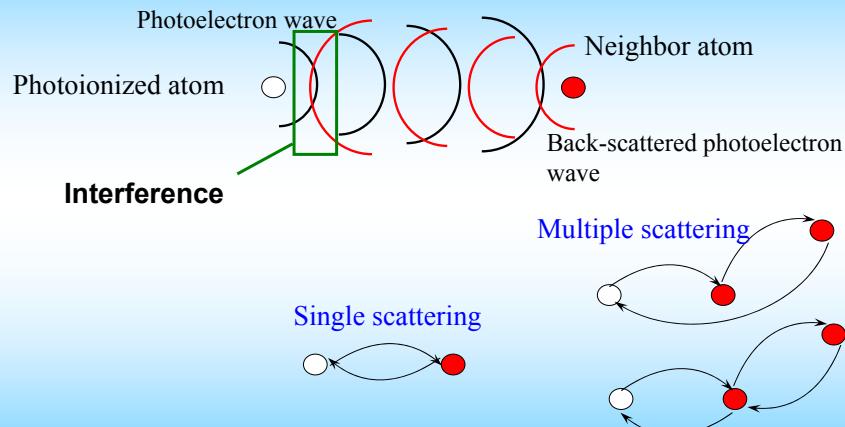
$\mu \sim |\langle f / V | i \rangle|^2$ ,  $f, i$  – wave functions of the final and initial states,  $V$  – dipole moment operator

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## EXAFS: origin

Initial state: electron on the core level

Final state: outgoing photoelectron wave



Local-structure parameters of the central atom can be retrieved from EXAFS  
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$$\chi(k) = \sum_j \frac{S(k)N_j}{kr_j^2} |f_j(k, \pi)| \sin(2kr_j + \varphi_j(k)) e^{-2\sigma_j^2 k^2} e^{-2r_j/\lambda(k)}$$

$\chi$  - normalized background-subtracted EXAFS-signal

$k$  – photoelectron vector modulus ( $\equiv 2\pi/\lambda$ )

$S$  – Extrinsic loss coefficient (0.7-1.0)

$N$  – coordination number in the  $j$ -th coordination sphere

$r$  – interatomic distance

$f$  – backscattering amplitude

$\varphi$  – phase shift

$\sigma$  – Debye-Waller factors

$\lambda$  – photoelectron mean-free path

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# EXAFS/XANES: implementation at SMS

Detection modes:

**transmission** (ion chambers)

**fluorescence yield** ( NaI(Tl) scintillation counter,  
detection limit down to 0.005 mass.%)

Data processing: IFEFFIT (Athena, Artemis, Hephaestus и др.) with *ab initio* theoretical phase and amplitude functions from FEFF8, GNXAS

*Ab initio* XANES spectra simulation with FEFF8 , FDMNES, Fitlt, etc.

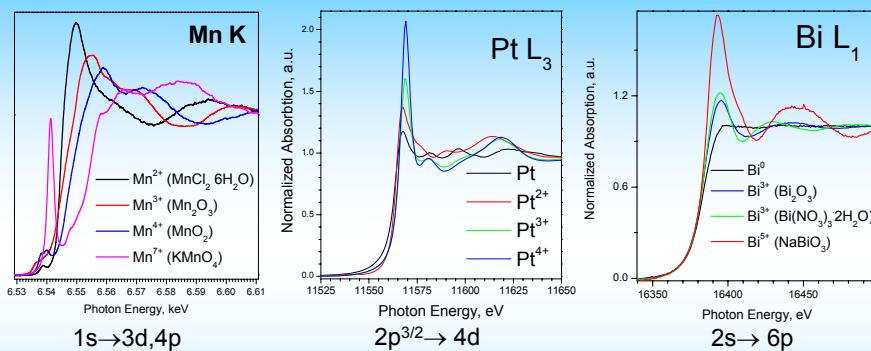
Absorption edges measured over 2004-2011

K-edges: Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Zn, Ga, Br, Y, Zr, Nb, Mo, Tc, Ru, Pd, Ag, Cd, In, Te

L<sub>3</sub>-edges: Ba, La, Ce, Nd, Pr, Sm, Eu, Gd, Hf, Ta, W, Re, Pt, Au, Hg, Pb, Bi, U, Pu

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# XANES



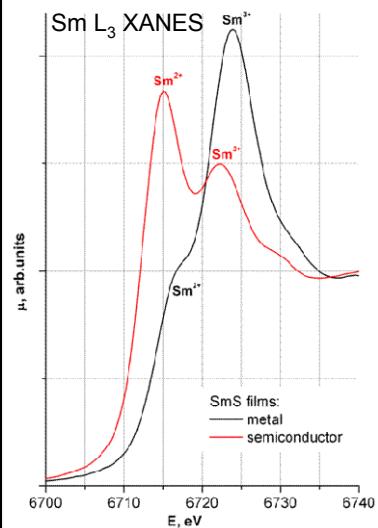
Information retrieved from XANES:

- Effective oxidation state
- Coordination polyhedron symmetry

Data analysis: “fingerprint” approach – comparison with reference spectra + theoretical simulations

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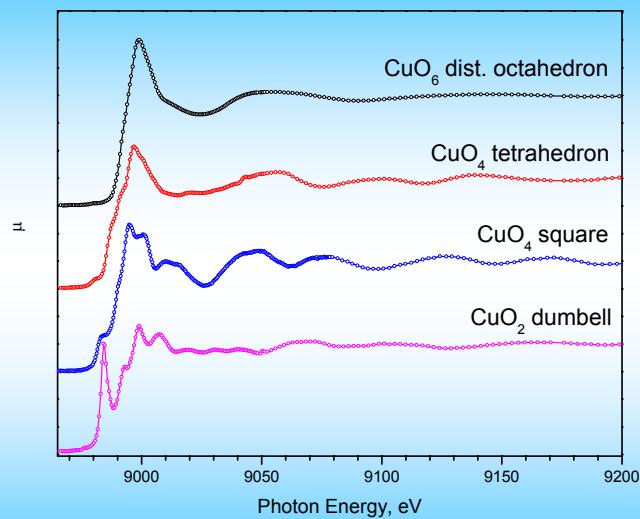
## Mixed-valence compounds or rare-earth elements



Metal-semiconductor transition  
(golden – grey phases) in thin  
epitaxial films of SmS on Si  
substrate

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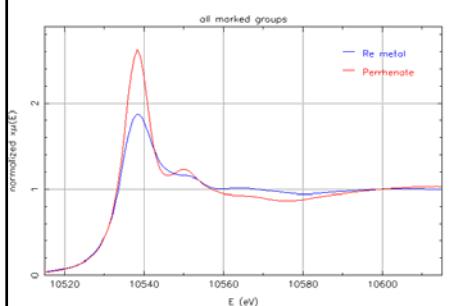
## Coordination polyhedron dependence of XANES spectra: copper-oxygen complexes



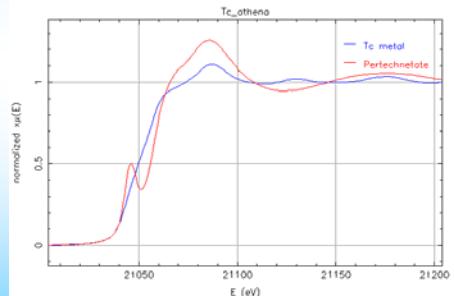
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## Application to Re & Tc

Re L3-edge XANES

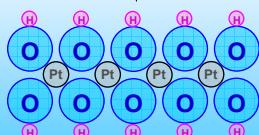
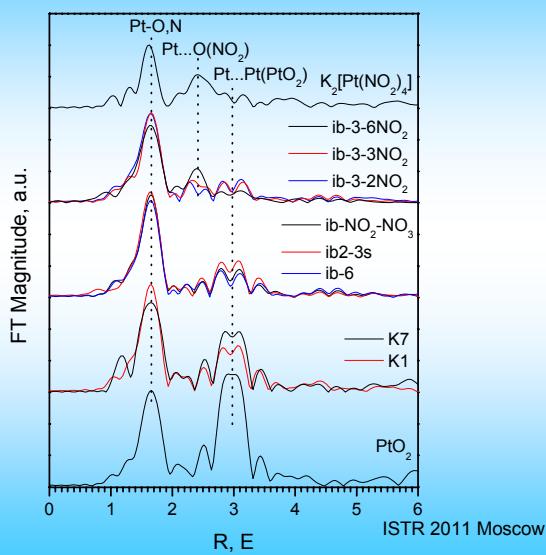


Tc K-edge XANES

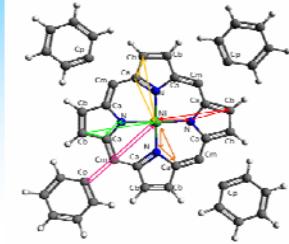


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## EXAFS application: formation of polynuclear species in nitric solutions of platinic acid

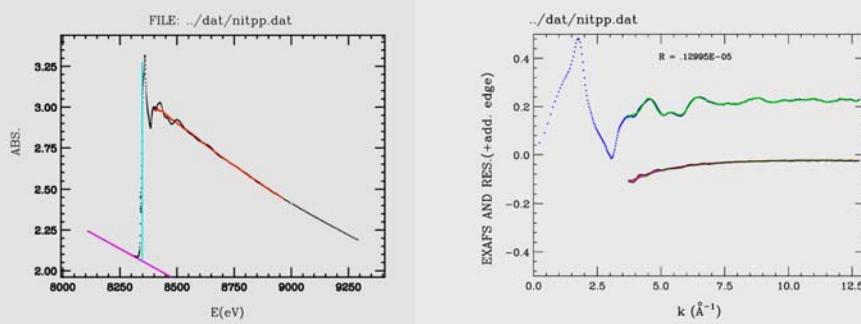


## EXAFS application: local structure around central atom in metal-porphyrin complexes

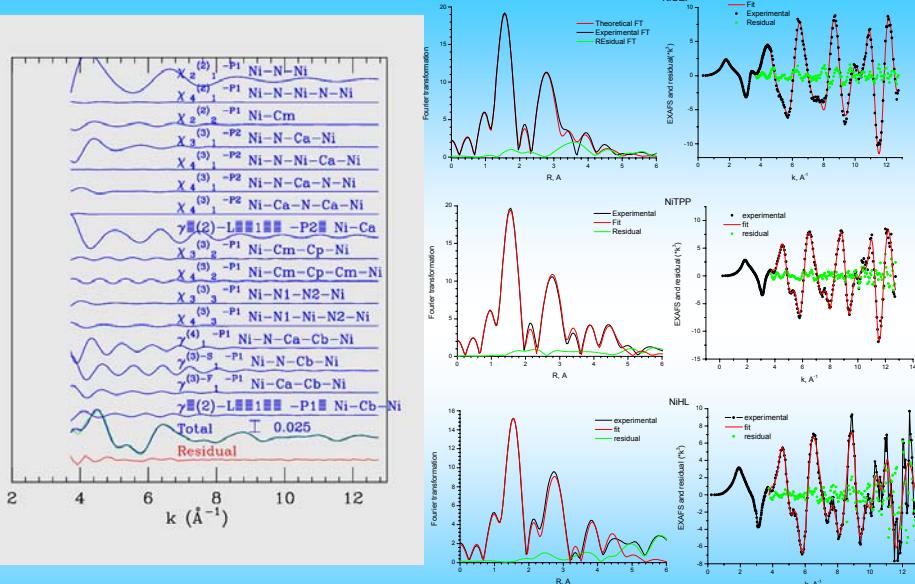


Due to the high point symmetry

The contribution from multiple scattering is important



## Quantitative analysis of the spectra with the GNXAS package



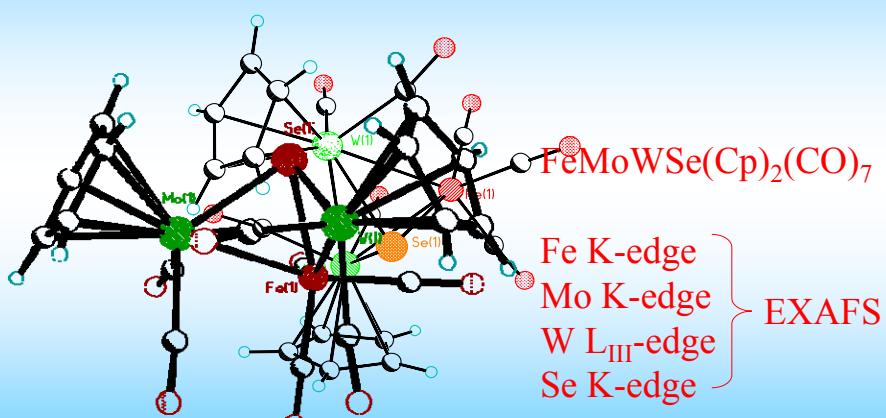
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### Elements of the 3D structure from multiple scattering

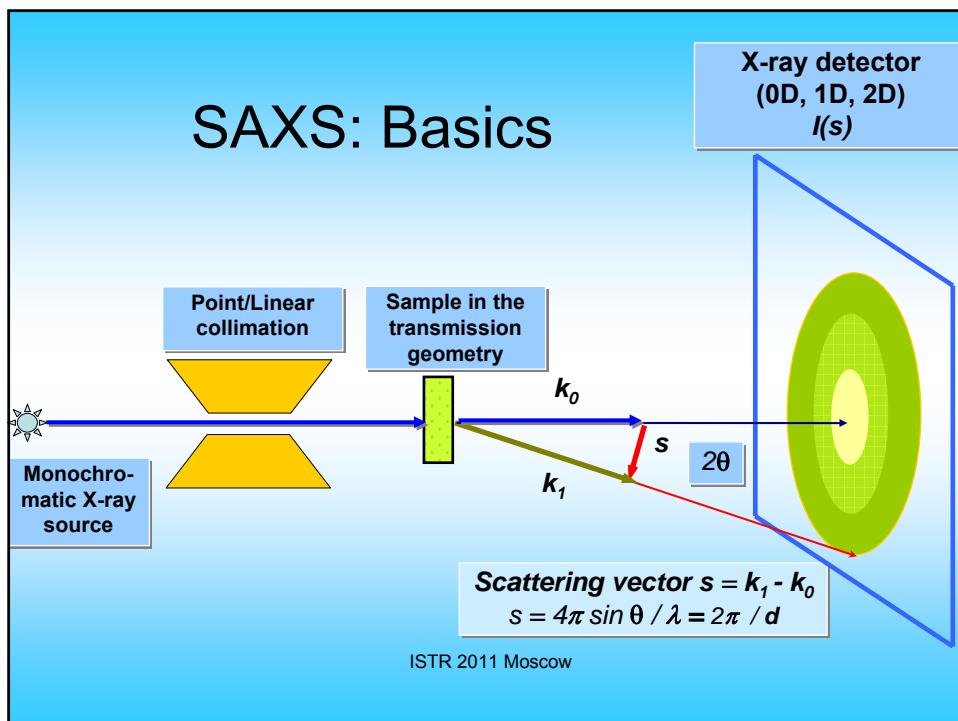
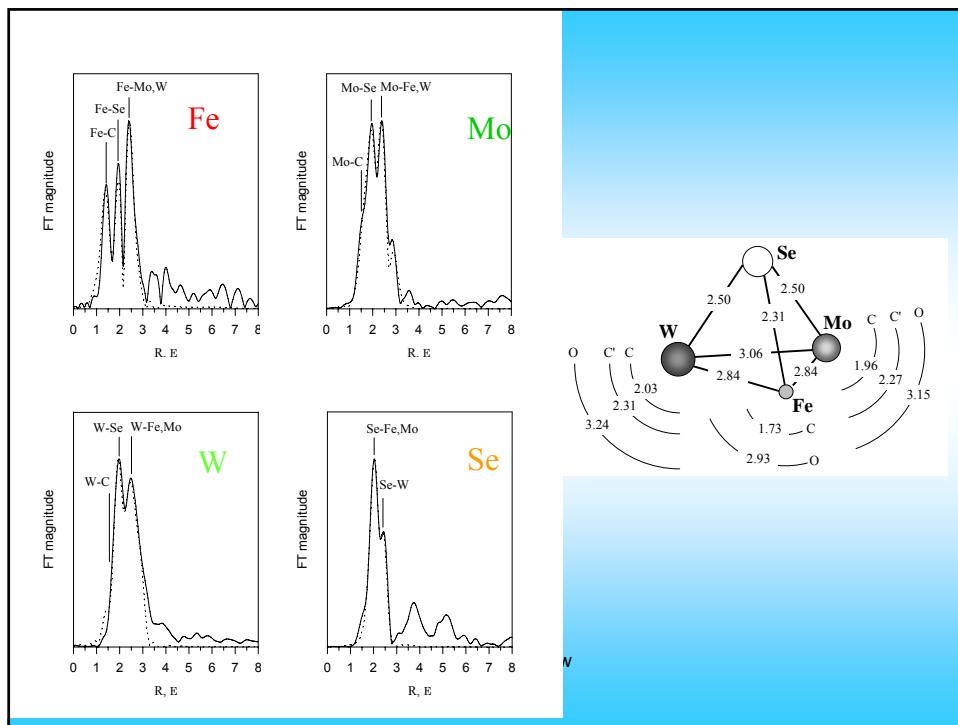
Parameter	Sample								
	NiTTP	NIHL	NIOEP	CoTPP	CoHL	CoOEP	CuTPP	CuHL	CuOEP
Ni-N	1.928	1.937	1.935	1.946	1.963	1.997	1.994	2.025	2.035
N-Ca	1.364	1.378	1.400	1.387	1.396	1.379	1.396	1.481	1.400
Ni-N-Ca	132.3	131.8	130.0	129.4	129.9	130.1	128.3	122.8	127.8
Ni-Cm	3.311	3.342	3.316	3.25(?)	3.304	3.302	3.31	3.35	3.26
Ni-Cp	4.906	4.927	-	4.909	4.947	-	4.96	4.97	-
Ni-Cp-Cm	0.41	0.19	-	3.59	1.77	-	0.0	4.0	-
N-Ni-N	179.9	179.9	180	179.9	180	180	180	180	180
Ca-Cb	1.453	1.431	1.46	1.438	1.458	1.444	1.430	1.422	1.460
N-Ca-Cb	113.5	114.75	114.1	114.8	113.2	116.0	113.3	108.8	113.4
E <sub>0</sub>	8347.9	8348.8	8349.2	7722.2	7724.2	7725.6	8994.9	8992.3	8998.0
Ni-Cb	4.26	4.26	4.29	4.27	4.30	4.34	4.29	4.29	4.37
Ni-Ca	3.02	3.03	3.03	3.02	3.05	3.07	3.06	3.08	3.10
Ni-N-Ca-Cb	180	180	180	179.6	178.0	180	180	180	180
Dihedral angle									

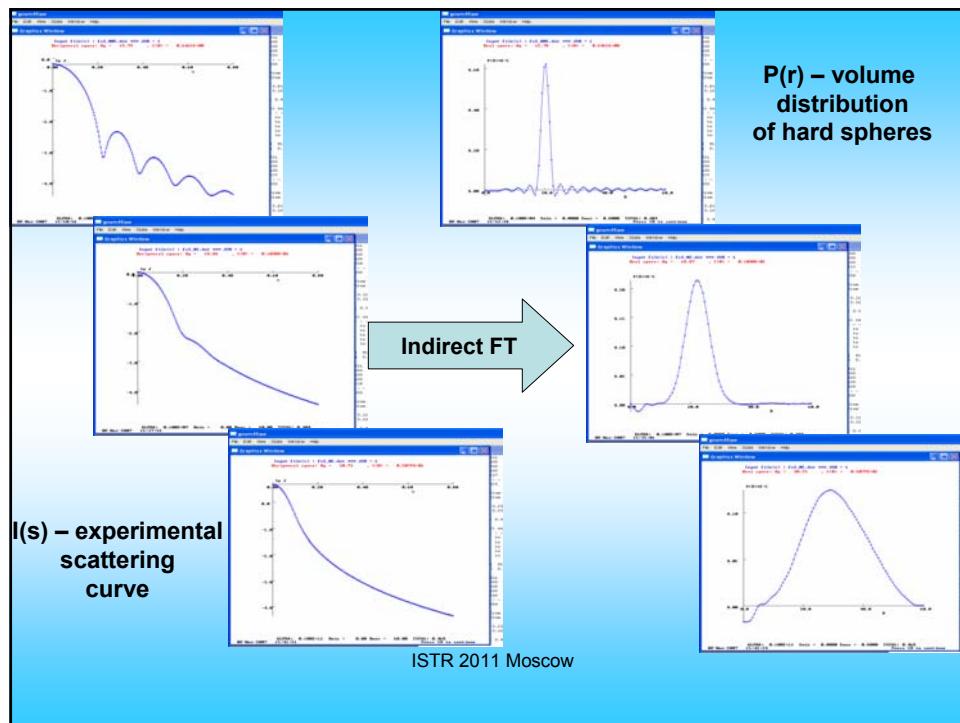
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### 3D structural information from multi-edge refinement



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## SAXS: implementation at SMS

Only transmission geometry (no GISAXS for the moment)

Scattering vector is oriented vertically;  
sample-to-detector distance up to 2.5 m;

Photon energy 5-30 keV (the possibility to employ anomalous scattering)

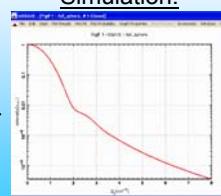
Sample-to-detector distance, mm	$2\theta_{\min} - 2\theta_{\max}, ^\circ$	$q_{\min} - q_{\max}, \text{nm}^{-1}$ $E = 25 \text{ keV}$	$q_{\min} - q_{\max}, \text{nm}^{-1}$ $E = 6 \text{ keV}$
120	0.95 - 45.00	4.2 - 179	1 - 43
500	0.23 - 13.50	1 - 59	0.24 - 14.2
1000	0.11 - 6.84	0.5 - 30	0.12 - 7.1
2390	0.05 - 2.87	0.2 - 12.7	0.05 - 3

Treatment of experimental data: GNOM, MIXTURE, DAMMIN, SAXSFIT, IsGISAXS, Fit2D (for preliminary data processing of 2D images)

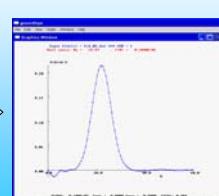
Simulation:

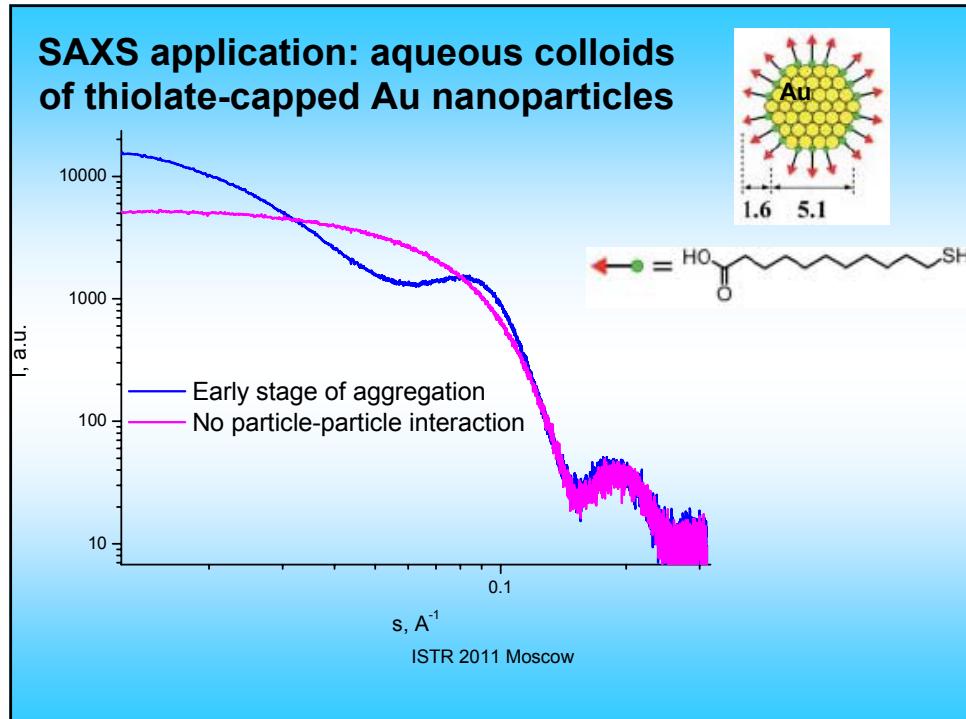
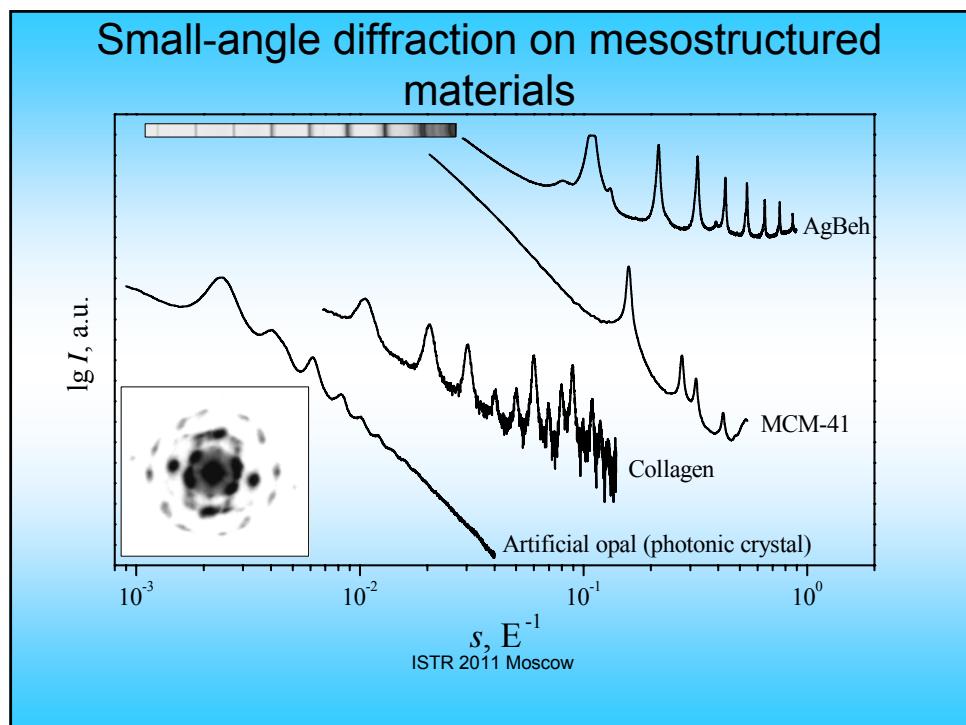
Single size distribution of spherical particles  
 $R=20\pm4 \text{ \AA}$

IsGISAXS

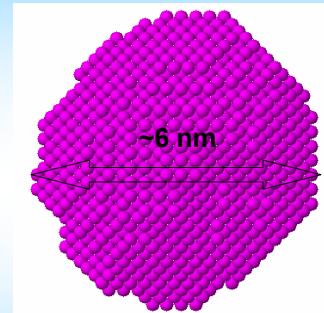
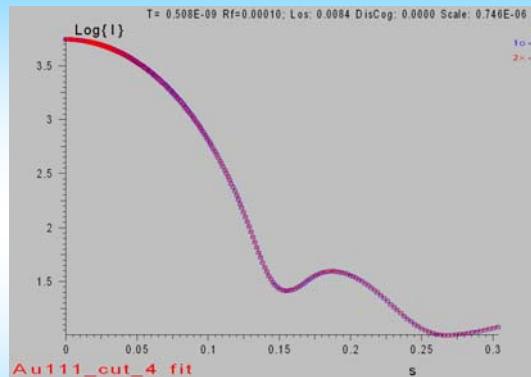


GNOM



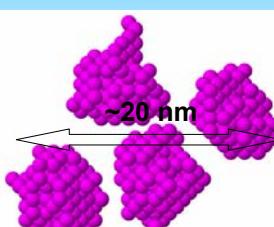
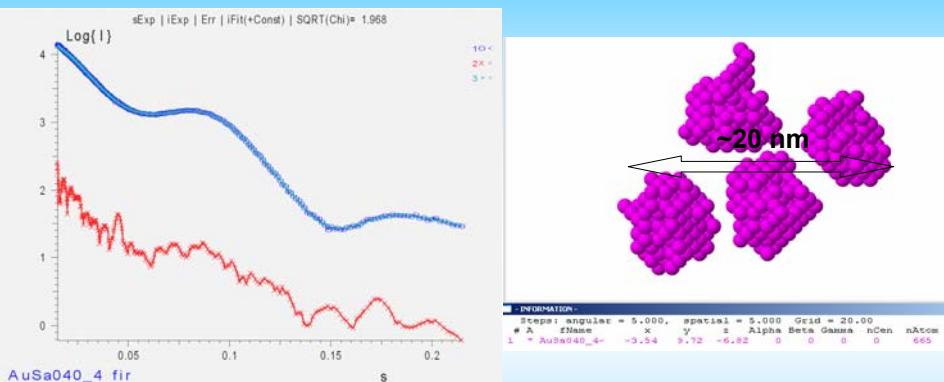


## Quantitative interpretation of the SAXS curve for not-interacting particles (DAMMIN)

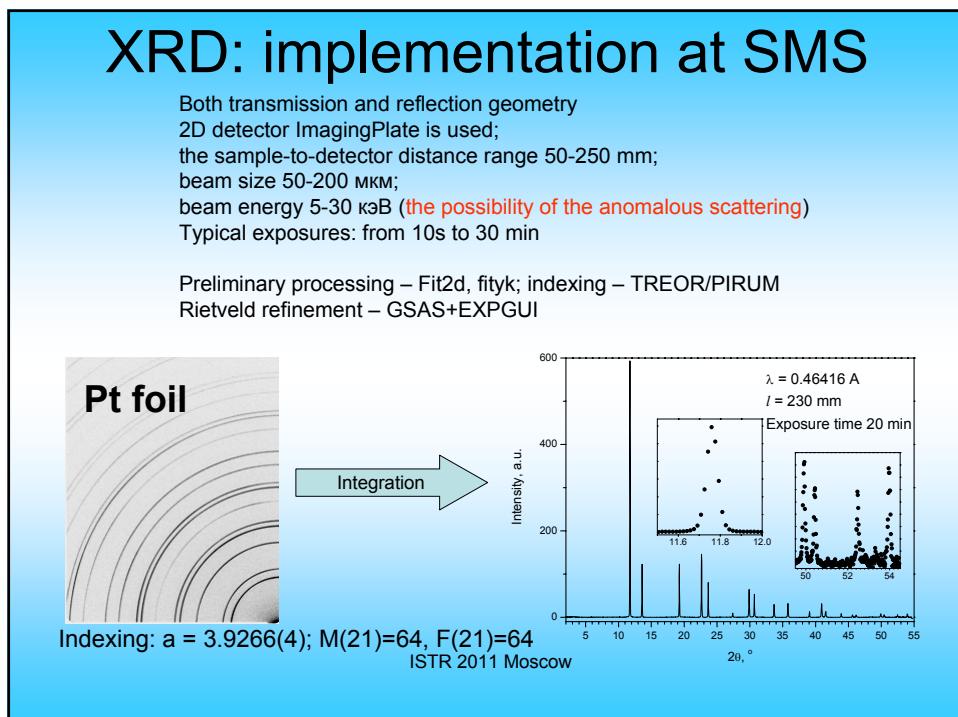
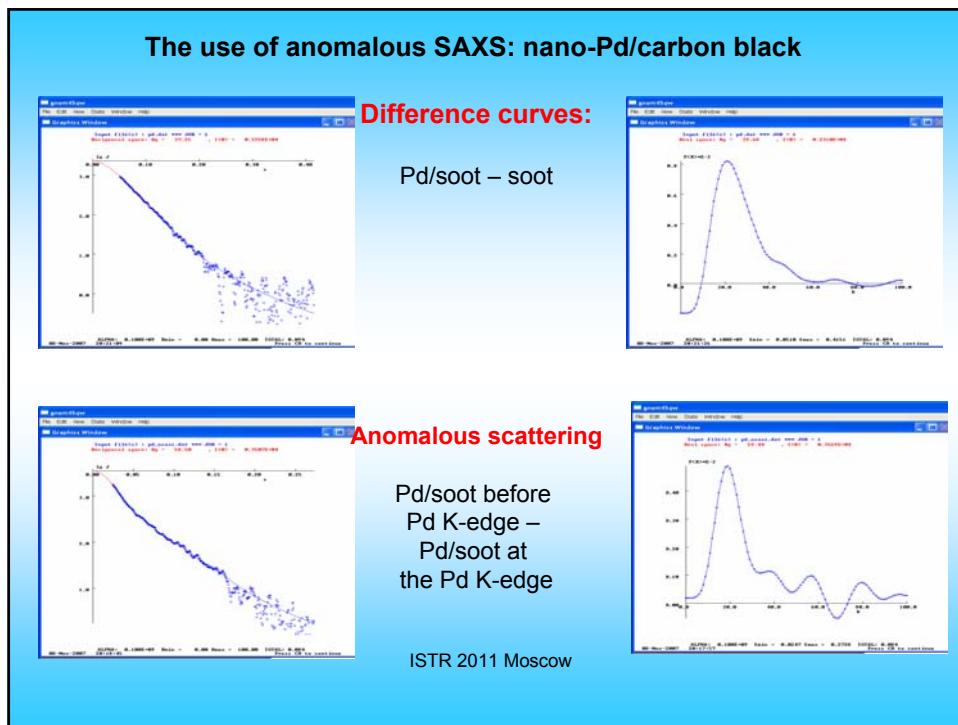


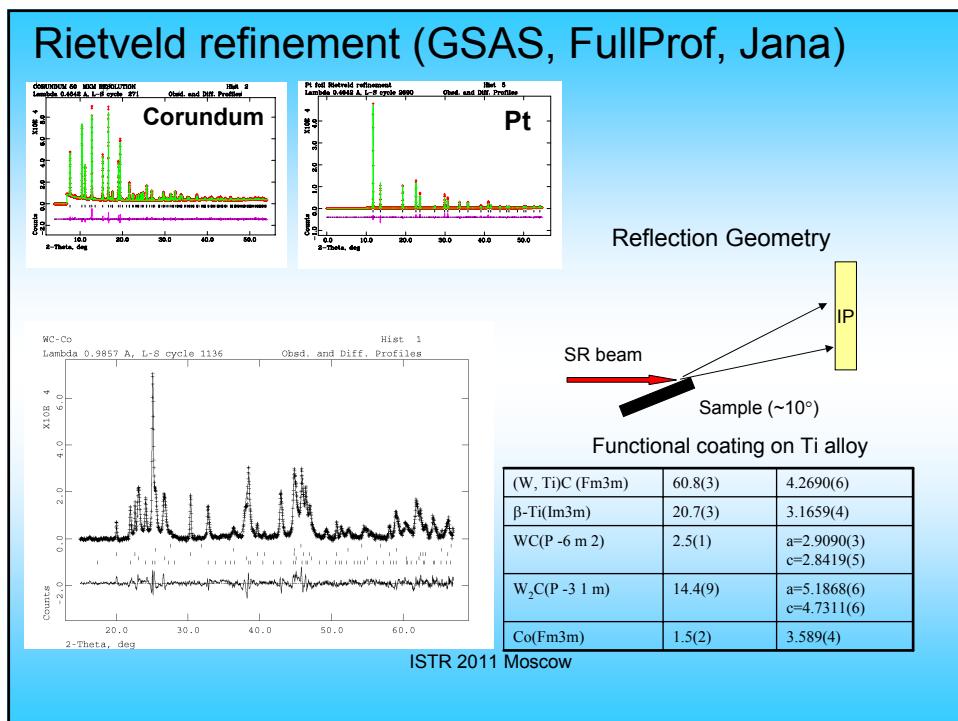
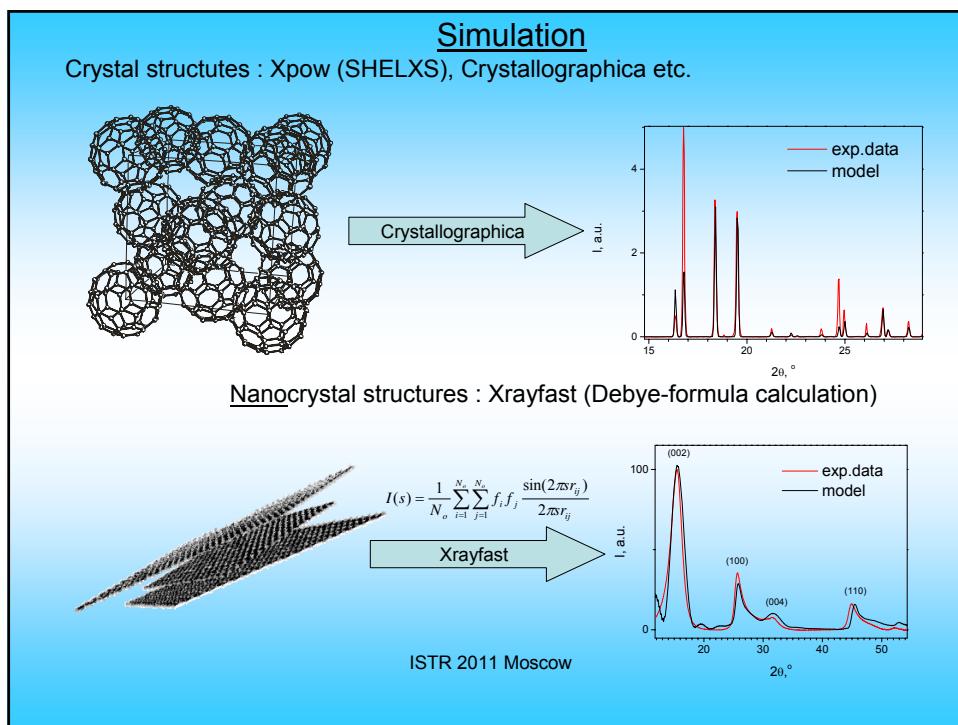
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## Quantitative interpretation of the SAXS curve for aggregates (DAMMIN)

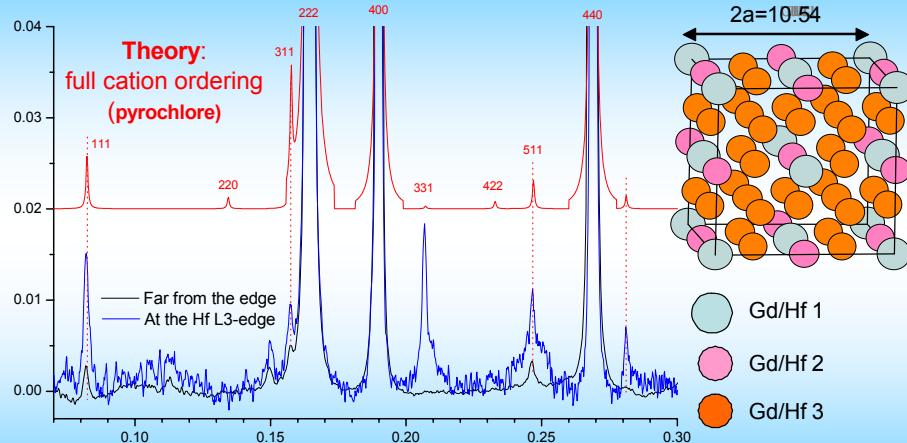


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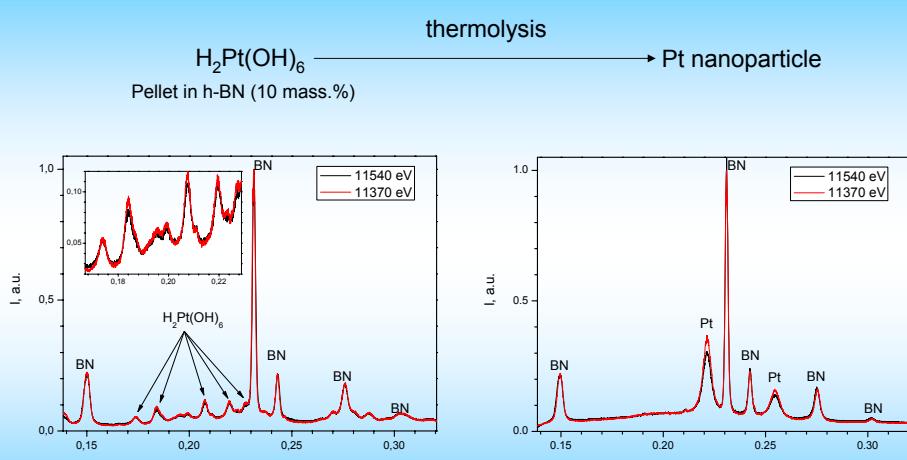


## Anomalous diffraction application Cation ordering in nanocrystalline $\text{Gd}_2\text{Hf}_2\text{O}_7$ : fluorite or pyrochlore?

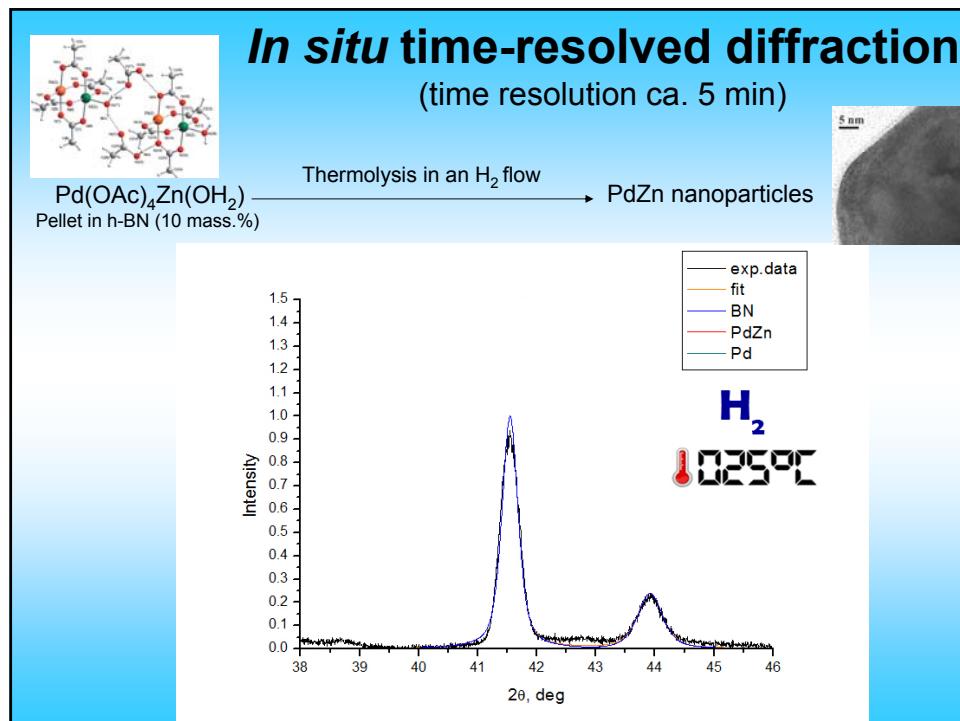


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## Anomalous diffraction application: phase mixtures



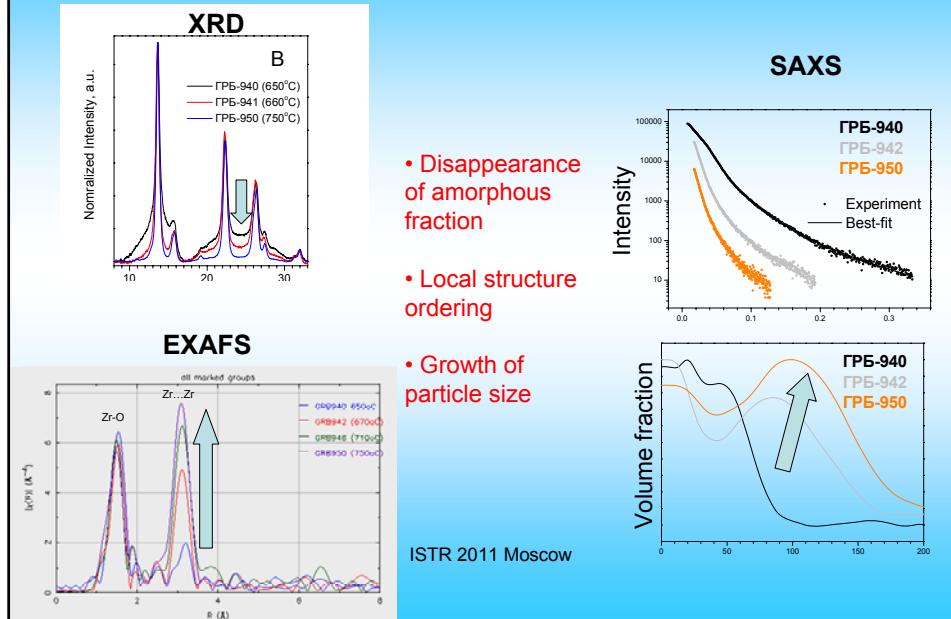
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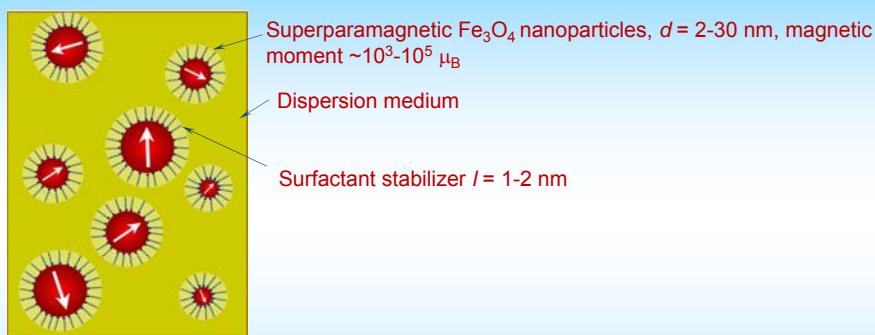
Examples of combined structural studies

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## Thermally driven crystallization of $\text{ZrO}_2$ xerogels



## Ferrofluids

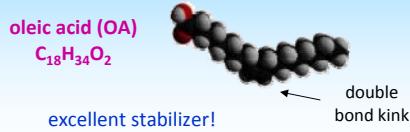


**Potential applications:** magnetic devices and sensors, biomedicine (SPIO-enhanced MRT, hyperthermia, targeted drug delivery)

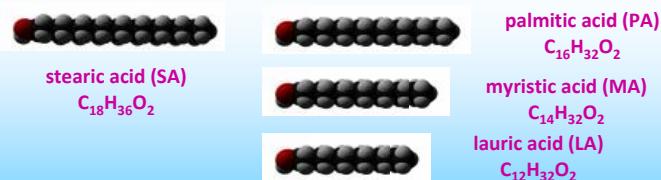
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## Surfactants used

### Unsaturated mono-carboxylic acids (excellent stabilizer)

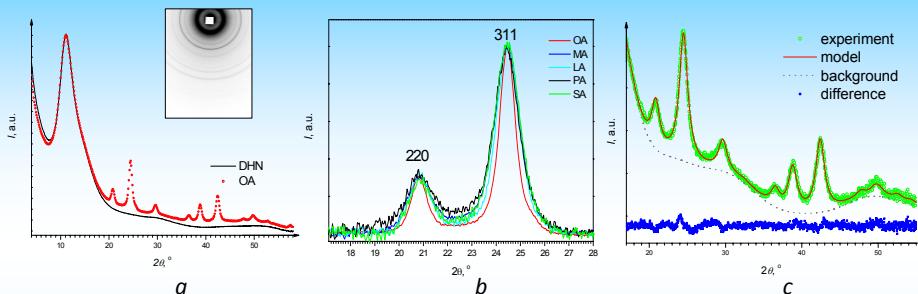


### Saturated mono-carboxylic acids (poorer stabilizers)



## Diffraction results

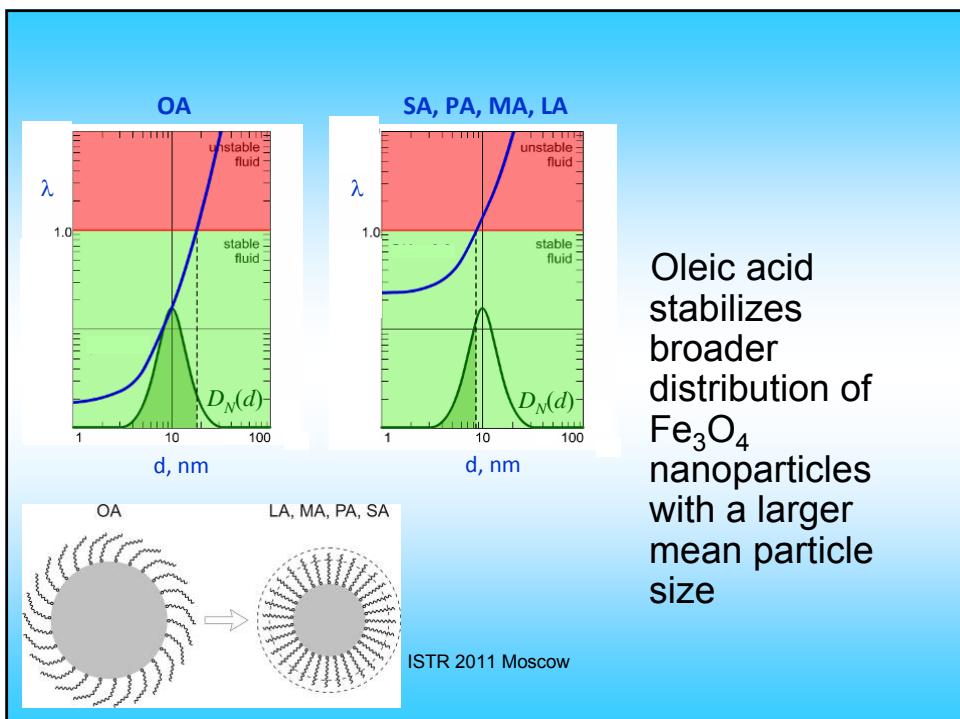
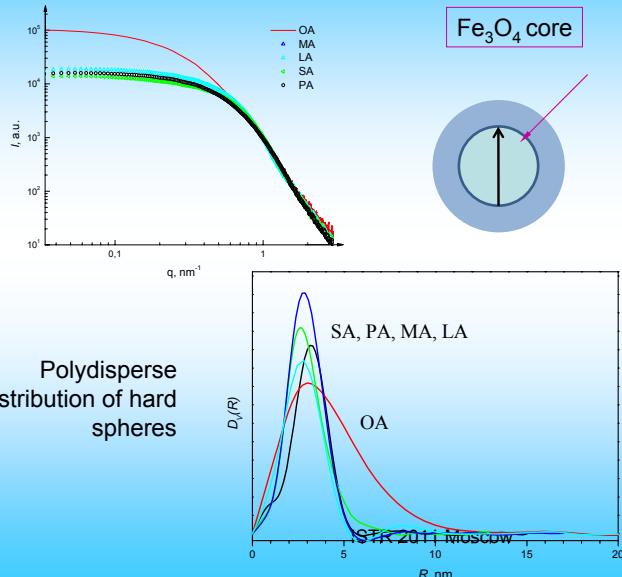
(1 mass.% colloidal suspension in decalin)



- Magnetite peaks are distinctly resolved
- Peak broadening is exclusively due to small crystallite sizes

Surfactant	OA	SA	PA	MA	LA
D, nm	8.6	4.9	5.1	5.0	5.7

## SAXS results



## Conclusions

- X-ray synchrotron radiation is a unique and versatile tool for the structural diagnostics of nanomaterials
- Research staff of Kurchatov Synchrotron Radiation Center is open for collaboration with any interested groups from Russia and abroad
- The collaboration can be aimed at structural studies of specific samples or design and construction of new beamlines

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